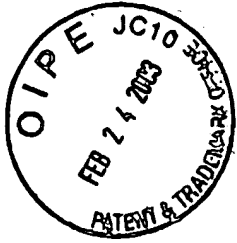


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: M. Oshima et al. : Art Unit: 2134
Serial No.: 10/020,425 : Examiner: P. E. Callahan
Filed: December 7, 2001 :
For: MARK FORMING APPARATUS, :
METHOD OF FORMING LASER MARK :
ON OPTICAL DISK, REPRODUCING :
APPARATUS, OPTICAL DISK AND :
METHOD OF PRODUCING OPTICAL :
DISK :

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

RECEIVED
FEB 26 2003
Technology Center 2100

Assistant Commissioner for Patents
Washington, D.C. 20231

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) 58-211343
- 2) 61-248250
- 3) 03-078178
- 4) 03-116441
- 5) 02-056750

References 1) to 5) include English language abstracts and were cited by a foreign patent office in a counterpart foreign application. A copy of the Japanese Office Actions with partial English language translations, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP 609 IIIA(3), second paragraph.

References 4) and 5) have counterpart applications attached.

More than three months have elapsed since the filing of the above-referenced application and/or a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed. Accordingly, as more specifically indicated below, a statement as required by 37 C.F.R. § 1.97(c) is provided herewith.

STATEMENT

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



Allan Ratner, Reg. No. 19,717
Attorney for Applicants

Enclosures: PTO Form 1449
Copy of (#8) References
Copy of Japanese Office Actions JP 2002-235948 and JP 2002-235949

Dated: February 19, 2003

P.O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20221-0001.

February 19, 2003



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Rev. 2-32) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
MTS-520US2

SERIAL NO
10/020,425

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT
M. Oshima et al.

FILING DATE
December 7, 2001

GROUP
2134

U.S. PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
	5,126,741	06/30/92	Yoshida (with counterpart JP 03-116441)			
	4,961,077	10/02/90	Wilson et al. (with counterpart JP 02-056750)			

FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES NO
	58-211343	12/08/83	Japan (with English abstract)			x
	61-248250	11/05/86	Japan (with English abstract)			x
	03-078178	04/03/91	Japan (with English abstract)			x
	03-116441	05/17/91	Japan (with English abstract and counterpart USP 5,126,741)			x
	02-056750	02/26/90	Japan (with English abstract and counterpart EP 0 329 122 and 4,961,077)			x
	EP 0329122	08/23/89	Europe/Germany (with counterpart JP 02-056750)			x

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

	1.	Japanese Official Action dated November 19, 2002, for JP 2002-235948 with partial English translation.
	2.	Japanese Official Action dated November 19, 2002, for JP 2002-235949 with partial English translation.

Examiner

Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.